

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
UTILITY PATENT APPLICATION TRANSMITTAL

A

ASSISTANT COMMISSIONER OF PATENTS

Box Patent Application
Washington, D.C. 20231

Attorney Docket No. 13054.01600

Prior Application Number:

Art Unit:

Examiner:

PTO Customer Number:

Sir:

Transmitted herewith for filing is a utility patent application of

Francis C. Szoka, Jr., 45 Mendosa Avenue, San Francisco, CA 94116

Xin Guo,

for: pH SENSITIVE LIPIDS BASED ON ORTHO ESTER LINKERS, COMPOSITION AND

METHOD

ENCLOSED are the following:

1. ☒ Specification, abstract and claims of ___ pages.
2. ☒ 19 sheets of ___ formal ☒ informal drawings ☐ No drawings.
3. ☒ Declaration (original or copy) by the named inventor(s) - unsigned
4. ☐ Preliminary Amendment
5. ☐ Information Disclosure Statement
6. ☒ Other: Request And Certification Under 35 U.S.C. 122(b)(2)(B)(i).

NOTE the following:

7. ☒ Applicant is a small entity. - 50% Filing Fee Reduction (if applicable)
8. ☐ The prior application is assigned to
9. This application is a:
☐ Continuation ☐ Divisional ☐ Continuation-in-Part (CIP) of Prior Application

Filed:

10. ☐ Priority of the following application(s) is (are) claimed under 35 U.S.C. 119:

Serial No.	Date Filed	Country	Certified Copy of Priority Doc. Filed	
			USSN or PCT#	Date

11. ☐ An Extension of Time is filed concurrently herewith for the parent application.
12. ☐ Cancel claims _____ prior to calculation of the filing fee.

02/07/01
J1036 U.S. PTO
09/778388

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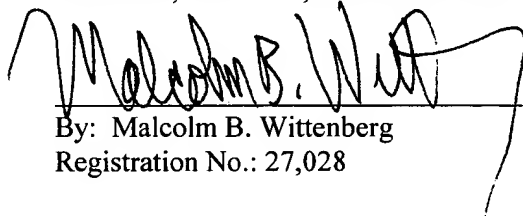
FILING FEE: calculated below after accounting for any preliminary amendment or claims cancellations if noted above

<input checked="" type="checkbox"/>	Total Claims	52	Total Claims Subject to Fees: 32	\$576.00
<input checked="" type="checkbox"/>	Independent Claims	6	Total Claims Subject to Fees: 3	\$240.00
<input type="checkbox"/>	Multiple Dependent Claims			\$
<input checked="" type="checkbox"/>	Basic Filing Fee			\$710.00
<input type="checkbox"/>	Extension Fees			\$
	Sub-Total			\$1,526.00
<input checked="" type="checkbox"/>	Less Small Entity Fee Reduction			\$763.00
<input type="checkbox"/>	Assignment Recordal Fees			\$
	Total Fees			\$763.00

- ☐ Check(s) no. ____ in the amount of \$ ____ is enclosed (must at least cover the basic fee). If no check or an insufficient check is enclosed and a fee is due herewith, the Commissioner is authorized to charge any fee or additional fee due in connection herewith to Deposit Account No. 03-3821, referencing Attorney Docket No. 13043.01600. **A duplicate of this sheet is enclosed.**
- ☐ The Commissioner is hereby authorized to charge any additional fees (or credit any overpayment) associated with this communication and which may be required under 37 CFR § 1.16 or 1.17 to Deposit Account No. 03-3821, referencing Attorney Docket No. 13054.01600. **A duplicate copy of this sheet is enclosed.**

Respectfully submitted,

CROSBY, HEAFEY, ROACH & MAY


By: Malcolm B. Wittenberg
Registration No.: 27,028

Date: February 7, 2001

Crosby, Heafey, Roach & May
P.O. Box 7936
San Francisco, CA 94120-7936

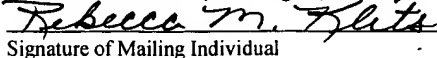
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I hereby certify that this paper or fee is being deposited with the United States Postal Service By "Express Mail Post Office Addressee" Service under 37 CFR 1.19 on the date indicated Above and is addressed to the Assistant Commissioner for Patents, Washington, DC 20231

Rebecca M. Klits

Name of Mailing Individual


Signature of Mailing Individual

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Assistant Commissioner for Patents, Washington, DC 20231.